SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and A Smith Mic	ddress of Reporting F <u>chael A</u>	Person*		ssuer Name and Tic <u>tIQ, Inc.</u> [PE1		g Symbol	(Check	tionship of Reportir all applicable) Director Officer (give title	10% C	
(Last) 923 S BRID	(First) GE WAY PL	(Middle)		Date of Earliest Tran 11/2021	saction (Mont	h/Day/Year)	X	below)	below)	
(Street)			4. If	Amendment, Date	of Original Fil	ed (Month/Day/Year)	6. Indiv Line)	vidual or Joint/Grou	p Filing (Check	Applicable
EAGLE	ID	83616-6	885				X	Form filed by One	e Reporting Per	son
(City)	(State)	(Zip)						Form filed by Mo Person	re than One Re	porting
		Table I - Nor	n-Derivative	Securities Ac	quired, Di	sposed of, or Benet	ficially	Owned		
Date		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	

						Reported		(Instr. 4)		
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Class A Common Stock	11/11/2021		P ⁽¹⁾		4,000	Α	\$22.62 ⁽²⁾	4,000	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

								-				-			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of E		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The reporting person's purchase of PETIQ common stock reported herein was matchable under Section 16b of the Securities Exchange Act of 1934, to the extent of 4,000 shares, with the reporting person's sale of 2,664 and 13,470 shares of PETIQ common stock at a price of \$39.80 per share on June 10, 2021 and \$39.53 per share on June 11, 2021, respectively. The reporting person has agreed to pay to PETIQ, upon settlement of the sale, \$63,359.28 representing the full amount of the profit realized in connection with the short-swing transaction, less transaction costs.

2. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$22.58 to \$22.70. The reporting person undertakes to provide to PetIQ, Inc., any security holder of PetIQ, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth herein.

Remarks:

/s/ R. Michael Herrman, as

attorney-in-fact

11/15/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.